Writing Samples for Amy Van Deusen

Excerpt from Van Deusen A.**,** Kumar S., Calhan O.Y., Goggin S., She J., Williams C., Keeler A., Fread K., Gadani I.C., Deppmann C., and Zunder E. A single-cell mass cytometry-based atlas of the mouse brain. *Nature Neuroscience* [In press]*.*

While numerous studies have cataloged cells present in the brain at maturity¹, many fundamental questions about their development remain unresolved. In particular, the molecular profiles, timing of appearance, and celllineage relationships of neural stem cells (NSCs) and intermediate progenitors remain poorly characterized. Mapping the molecular trajectories and cell fate decisions underlying brain development promises to enhance our understanding of developmental and other neurological disorders.

Although previous studies have used immunofluorescence microscopy and single-cell RNA sequencing (scRNA-seq) to characterize cell populations in the developing brain2–14, single-cell proteomic profiling during brain development has not been reported. To address this knowledge gap, we adapted single-cell mass cytometry15,16 to profile the developing brains of C57/BL6 mice. Mass cytometry has been used previously to profile glioma17–20, microglia21–26, and dorsal root ganglia27, but has not been applied to study neural cell types in the brain, except for one study of obesity-inhibited adult neurogenesis using only seven neural-specific markers28. Protein-level mass cytometry analysis of the developing brain serves as an important complement to previous scRNA-seq studies, because mRNA transcript abundance does not necessarily correlate with functional protein abundance²⁹. Furthermore, while single-cell mass spectrometry^{30,31} and next-generation sequencing-based methods like CITE-seq³² and ASAP-seq³³ can profile thousands of proteins per cell, they are limited by lower sensitivity and throughput. In contrast, mass cytometry rapidly and cost-effectively analyzes fewer proteins with high sensitivity in millions of single cells, enabling comprehensive profiling of complex tissues.

By profiling over 24 million cells from these brain regions with mass cytometry, we identified 85 molecularly distinct cell populations and quantified their spatiotemporal dynamics across embryonic and postnatal development. These populations generally overlap with previous RNA-based studies^{2–14,34–43}, but discrepancies between individual protein-mRNA cognate pairs demonstrate the value of protein-based measurements to capture cell states defined by specific functional biomolecules. Validation with immunofluorescence and RNAScope in situ hybridization (ISH) was used to confirm these relationships. To investigate cell lineage trajectories in the developing brain, we applied URD pseudotime analysis45, which captured classical neuronal and glial trajectories and predicted two distinct trajectories for producing embryonic oligodendrocyte progenitor cells (OPCs). Although not part of our original study design, our measurements appear to show phagocytic cargo within individual microglia, suggesting a dynamic role for phagocytosis in early brain development. Collectively, this study establishes mass cytometry as a platform to quantify cell types in the developing brain by their protein expression profiles and identify the molecular trajectories underlying their specification.

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The necessary requirements for completing the Chemistry, Manufacturing, and Control (CMC) section of a Food and Drug Administration (FDA) Investigational New Drug (IND) application as it pertains to the production of pluripotent stem cell (PSC)-based cell therapy products will be described in this chapter. The expectations for IND content are located in 21 CFR 312.231 and regulations enacted for Phase 1 investigational products are described in an FDA Guidance for Industry titled "cGMP for Phase 1 Investigational Drugs"2.

PSC-based products, generated from either embryonic or adult cell sources, are regulated under the general classification of Human Cells, Tissues, or Cellular or Tissue-Based Products (HCT/Ps) under Title 21 of the Code of Federal Regulations Part 1271 (21 CFR 1271)3. Additional regulations intended to prevent the introduction, transmission, or spread of communicable disease are contained within section 351 and 361 of the Public Health Service (PHS) Act^{4, 5}. Regulatory implementation is primarily determined through risk-based assessments at each clinical phase and varies with the source, manipulation, and intended application of cells used in PSC-based therapies. As the clinical cohort increases in size, the scope and expectations of regulations will expand significantly.

While there are several criteria set out in 21 CFR 1271 Subpart A to determine if a cell therapeutic is exempt from any part of these regulations6, the necessary *ex vivo* manipulation of cells and their intended use for transplantation into human patients renders the majority of PSC-based cell therapeutics fully regulated under all previously mentioned statutes7. Examples of biologically similar HCT/Ps not regulated under 21 CFR 1271 include minimally manipulated bone marrow and blood products. However, the phrase "minimally manipulated" has been controversial, even resulting in a legal battle before the United States District court between the FDA and a cell therapy manufacturer in 20108.

During preclinical and IND phases of development, emphasis is placed on generation of verifiable proof-ofconcept studies and prevention of communicable diseases within the laboratory through Good Laboratory Practice (GLP)⁹ and Good Tissue Practice (GTP)¹⁰ As a trial advances to Phase 1, current Good Manufacturing Procedures (cGMP) must be more rigorously implemented. As studies progress into even later stages, there is an increased focus beyond safety onto control of the manufacturing process including assessments of product stability and consistency. This largely occurs through evaluation of all generated documentation, including manufacturing and quality control records.

While this chapter will frequently refer to applicable regulations and guidelines, it is not intended to fully recapitulate any section or subsection of the 21 CFR or any FDA Guidance for Industry issued by the Center for Biologics Evaluation and Research (CBER). Rather, this chapter is intended as a general overview of the many regulatory requirements that must be considered in order to generate a complete CMC section for a successful IND application. As there are a multitude of regulations distributed throughout the CFR and US Pharmacopeial Convention (USP), we have provided a list of relevant statutes in Table 7.1.

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14.2.3 *The Ethics of iPS Cell Technologies*

Beyond presenting a novel avenue for patient-specific medicine, the ability to generate iPS cells from adult sources also resolves many ethical barriers surrounding the use of embryonic stem cells. Soon after the first derivation of embryonic stem cells lines from human embryos by Dr. James Thomson at the University of Wisconsin, in Madison³⁸, controversy began to surround the use of these cells for any purpose. The basis for most objections concerned the origin of these cells, human blastocysts, and the manner in which they are obtained from donor sources.

In 1996, the United States Congress signed the Dickey-Wicker Amendment banning government funding for creation or destruction of human embryos into law. For 15 years, an ideological battle was waged between researchers, politicians, ethicists, and religious organizations. In 2009, US President Barack Obama issued an executive order that removed restrictions on federal stem cell funding, though this was contested with an injunction for two years39 before the US District Court for the District of Columbia finally lifted the injunction in 2011. In July 2012, a panel of judges upheld this decision in the US Circuit Court of Appeals for the District of Columbia thereby affirming the legality of embryonic stem cell research in the United States. However, policies governing embryonic stem cell research remain restrictive throughout most of the world including Western Europe, with the exception of the United Kingdom, Sweden and Switzerland where researchers are less limited in their ability to pursue hESC-based work.

The use of iPS cells has the potential to even the stem cell playing field across the globe as it opens up new applications and funding opportunities previously unavailable to thousands of researchers. Bioengineering cells through reprogramming offers an innovative strategy for embryo-independent creation of autologous cell therapies and avoids ethical and political issues surrounding embryonic stem cell work. While much research is still required to validate the use and safety of iPS cells and to fully characterize them in comparison to "gold standard" embryonic stem cells⁴⁰, many recent discoveries have brought the goal of regenerative medicine closer than ever to becoming a reality. Indeed, the speed with which iPS technologies could potentially deliver clinical therapies roused officials at the National Institutes of Health to thoroughly examine and codify the informed consent process for iPS cell research in coordination with the US Food and Drug Administration (FDA) in 201241.

14.6.4 *Intellectual Property and iPS Cell Technology Licensing*

The immense potential of iPS cell technologies and their subsequent usefulness in a wide variety of research schemes makes them an extremely valuable commodity in today's marketplace. Therefore, it is of particular importance for researchers and entrepreneurs to protect their inventions, and organizations across the globe are racing to secure rights to use and distribute these technologies. In a nascent environment where smaller iPS cell companies frequently demonstrate a more refined expertise in specific technologies, even the largest organizations appear willing to partner. Thus, it is important to establish scientific ownership of iPS cell technologies as early as possible in the discovery process.

iPS Academia Japan was established to manage the patents and technology rising from Dr. Yamanaka's discovery of iPS cells at Kyoto University after the institution was granted a patent for iPS cells by the Japan Patent Office in 2008129. Since its founding, numerous institutions and companies have applied for licenses to use this technology. In 2011, iPierian (San Francisco, CA) licensed this technology and in 2012, Cellular Dynamics International signed a new agreement to use Yamanaka's technology and cell lines.

Intellectual property laws vary globally, especially with regard to the applicability of patents to stem cell technologies, making establishing ownership tedious and difficult in some cases. For example, in 2011, the European Parliament courts banned patents on stem cell products citing an "unethical industrial" use of stem cells after a German researcher tried to patent a method of turning human embryonic stem cells into neurons130. This placed the UK and Europe in a precarious situation with regard to commercializing any stem cell technology, including iPS cells, and was considered "a blow to years of effort to derive biomedical applications from embryonic stem cells" by leaders in the field¹³¹.

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